



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q64156

Kazuo OOYA, et al.

Appln. No.: 09/837,487

Group Art Unit: 3627

Confirmation No.: 9915

Examiner: Andrew J. RUDY

Filed: April 19, 2001

For: ELECTRONIC AUDIT SYSTEM AND ELECTRONIC AUDIT

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. United States Patent No. 5,832,458, issued November 3, 1998.

One copy of the corresponding Chinese Office Action with English translation dated September 29, 2006, is enclosed.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 09/837,487

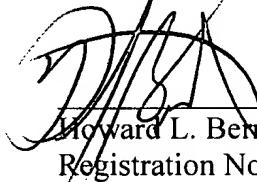
Q64156

prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: December 27, 2006



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

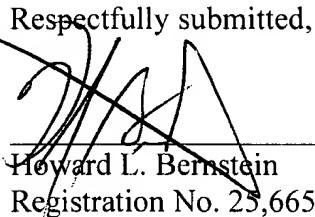
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,


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<p>Substitute for Form 1449 A & B/PTO</p> <p>1 P E</p> <p>2 7 2006</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>		<p><i>Complete if Known</i></p> <table border="1"> <tr> <td>Application Number</td> <td>09/837,487</td> </tr> <tr> <td>Confirmation Number</td> <td>9915</td> </tr> <tr> <td>Filing Date</td> <td>April 19, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Kazuo OOYA</td> </tr> <tr> <td>Art Unit</td> <td>3627</td> </tr> <tr> <td>Examiner Name</td> <td>Andrew J. RUDY</td> </tr> <tr> <td>Attorney Docket Number</td> <td>Q64156</td> </tr> </table>		Application Number	09/837,487	Confirmation Number	9915	Filing Date	April 19, 2001	First Named Inventor	Kazuo OOYA	Art Unit	3627	Examiner Name	Andrew J. RUDY	Attorney Docket Number	Q64156
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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.